



Responsible Mineral Sourcing
 Conflict-Free Sourcing Initiative
 September 24, 2015
 San Jose, California

Thursday, Sept. 24, 2015

8:15 – 9 a.m.	Registration and Coffee Service	
9 a.m. Room C-D	Opening and Welcome Rob Lederer, Executive Director, Electronic Industry Citizenship Coalition (EICC)	
9:15 – 10:15 a.m.	What Should Companies Know About the OECD Due Diligence Process? The OECD Due Diligence Guidance for Responsible Supply Chains of Minerals is referenced in both the SEC rules for Dodd-Frank 1502 implementation and proposed EU legislation. The OECD Due Diligence Guidance serves as an important guide for examining and reporting on mineral supply chain risks. Enjoy this opportunity to ask the experts: what should the 5-step process look like for downstream companies? What should smelters and exporters be doing to demonstrate compliance? Are smelters and traders in China ready to follow OECD? How will the OECD Guidance apply if the UE adopts legislation? <ul style="list-style-type: none"> • Tyler Gillard, Organization for Economic Co-operation and Development (OECD) [<i>by video conference</i>] • Carly Oboth, Global Witness • Jannick Saegert, China Chamber of Commerce of Metals Minerals & Chemicals Importers & Exporters (CCCMC) • Jean-Paul Meutcheho, Global Advanced Metals <i>Moderator: Tara Holeman, CFSI</i>	
10:15 a.m.	Coffee Break - Breakout Sessions begin	
Track A – Regulatory Obligations For Companies		Track B – In-Region Sourcing Updates
10:30 – 11:45 a.m.	SEC Compliance Evaluation and Role for IPSA How did various industry sectors fare in filing their 2014 conflict minerals reports? Review newly-released results	Responsible Sourcing in the Region: Impacts To Date Learn about the current status and impact of programs to ensure and promote responsible sourcing from the Great Lakes region of Africa,

	<p>from an in-depth study of sector leaders. For 2015 is your company considering undertaking an Independent Private-Sector Audit for conflict minerals reporting? How can your SEC report shape the IPSA exercise?</p> <ul style="list-style-type: none"> • Andrew Arriaga, Responsible Sourcing Network • Kristen Sullivan, Deloitte • Chris McClure, Crowe Horwath <p><i>Moderator: Michael Rohwer</i></p>	<p>the subject of Dodd-Frank 1502. What are the results of early efforts? How have systems matured? How can sourcing be further encouraged?</p> <ul style="list-style-type: none"> • Yves Bawa, Pact • Jennifer Peyser, RESOLVE/ Public-Private Alliance for Responsible Minerals Trade • Holly Dranginis, Enough Project <p><i>Moderator: Dr. Daniel Fahey, Estelle Levin Ltd.</i></p>
11:45 – 1 p.m.	<p>Legislation in the EU</p> <p>The EU has made groundbreaking moves in the conflict minerals space and is now negotiating the final framework its requirements. Which parts of the supply chain will be involved? What type of reporting or verification framework is anticipated? Consider how these factors may influence your own company’s policy setting.</p> <ul style="list-style-type: none"> • Jay Celorie, HP • Rein Neiland, Directorate-General for Trade, European Commission • Patricia Jurewicz, Responsible Sourcing Network <p><i>Moderator: Jay Celorie</i></p>	<p>Responsible Sourcing in the Region – New Programs</p> <p>Regional institutions are growing both in strength and in additional capacity. Learn about the status of innovations that are coming on line to provide better data to the supply chain and expanded commercial opportunities.</p> <ul style="list-style-type: none"> • Harrison Mitchell, Better Sourcing Program • Ambassador Ligabo, International Conference on the Great Lakes Region (ICGLR) • Pascal Nyembo, Deputy Director General, Centre for Expertise, Evaluation and Certification of Precious and Semi-Precious Materials (CEEC) and Coordinator of the National Commission for the Fight against Mining Fraud (CNLFM), Democratic Republic of the Congo <p><i>Moderator: Michael Loch, Responsible Trade</i></p>
1 p.m.	Lunch	
Track A – Improving Dodd-Frank Compliance Systems		Track B – Addressing “High Risk” Beyond Compliance
2 – 3:15 p.m.	<p>Mastering the Conflict Minerals Reporting Template (CMRT)</p> <p>The CMRT has become a standard for data collection, but that doesn’t make data collection easy. Listen to some experienced users give advice on maximizing the value of this form. What types of communication and training can motivate more suppliers to contribute their knowledge via this form and do so accurately?</p>	<p>Smelters and the Adoption of Good Practices</p> <p>While regulatory expectations of downstream companies are high, there is less attention to the role that smelters play in addressing responsible sourcing risks. How might they play a more proactive role in the supply chain? Will their practices receive more visibility in the future of both the EU and U.S. conflict minerals regimes? Discuss with smelters how to incorporate notions of transparency and accountability into smelter business practices.</p>

	<ul style="list-style-type: none"> • John Plyler, BlackBerry • Kazuko Andersen, Japan Electronics and Information Technology Industries Association • Balu Sharma, SupplierSoft <p><i>Moderator: John Plyler, BlackBerry</i></p>	<ul style="list-style-type: none"> • Jean-Paul Meutcheho, Global Advanced Metals • Daniel Schüehle, Heimerle + Meule • Gonzalo Quijandria, Minsur • Larry Drummond, Metalor <p><i>Moderator: Leah Butler, CFSI</i></p>
3:15 p.m.	Coffee Break	
3:30 – 4:45 p.m.	<p>Critiquing and Improving Supply Chain Surveys: Data Quality, Integrity and Limitations</p> <p>Companies face myriad hurdles when trying to gather and analyze data on conflict minerals. Are there advantages to any particular approach? What are common expectations in terms of volume or quality of data? How can this data be translated for greater understanding of risks to responsible sourcing?</p> <ul style="list-style-type: none"> • Bruce Calder, Claigan • Michèle Brühlhart, RCS Global • Dr. Michelle Wilhelm Turner, SourceIntelligence • Dr. Daniel Fahey, Estelle Levin Ltd. <p><i>Moderator: Leah Butler, CFSI</i></p>	<p>Risk Management for a Complex World</p> <p>Many systems for data collection and analysis have been tracking one region and one definition of conflict. Understand more about the limits to that approach and what it might be missing. What other issues are part of due diligence and what will be expected of companies as these risks are better understood and discussed? Discuss options for expanding your company’s picture of responsible sourcing.</p> <ul style="list-style-type: none"> • Carrie George, Apple • Yves Bawa, Pact • Dr. Assheton Carter, The Dragonfly Initiative <p><i>Moderator: Michael Rohwer, CFSI</i></p>
4:45 – 6 p.m. Room C-D	<p>When Risk “Goes Global,” What Do We Do?</p> <p>Join an in-depth dialogue on how to determine where risks are in the case where such determination is in the hands of companies themselves and is no longer limited to Central Africa. What risks should we be looking for? Is it enough to consider risk at a national level? How would it be possible to go deeper? How will different levels of the supply chain be able to align on their interpretations and views of risk? How can companies do ethical business in known risk geographies? Learn about some possible tools, sources of data, approaches and experiences.</p> <ul style="list-style-type: none"> • Lina Villa, Alliance for Responsible Mining • Dr. James Allan, Verisk Maplecroft • Dr. Assheton Carter, The Dragonfly Initiative <p><i>Moderator: Tara Holeman, CFSI</i></p>	
6 – 8 p.m.	<p>Closing Remarks followed by Networking Reception</p> <p><i>Drinks and light refreshments will be served in the exhibit area.</i></p>	

